

DUKE UNIVERSITY

MATH 218D-2

MATRICES AND VECTORS

Exam III

Name:

Unique ID:

I have adhered to the Duke Community Standard in completing this exam.

Signature:

November 21, 2025

- There are 100 points and 4 problems on this 50-minute exam.
- Unless otherwise stated, your answers must be supported by clear and coherent work to receive credit.
- The back of each page of this exam is left blank and may be used for scratch work.
- Scratch work will not be graded unless it is clearly labeled and requested in the body of the original problem.

Problem 1. Consider the $A = QR$ factorization where A is the 5×5 matrix, Q is the 5×2 matrix, and R is the 2×5 matrix given by

$$A = \begin{bmatrix} 1 & -1 & 1 & 2 & 4 \\ 1 & -1 & 1 & 2 & 4 \\ 3 & 5 & 1 & 4 & 6 \\ 1 & 7 & -1 & 0 & -2 \\ 2 & -2 & 2 & 4 & 8 \end{bmatrix} \quad Q = \frac{1}{4} \begin{bmatrix} 1 & 1 \\ 1 & 1 \\ 3 & -1 \\ 1 & -3 \\ 2 & 2 \end{bmatrix} \quad R = \begin{bmatrix} 4 & 4 & 2 & 6 & 10 \\ 0 & -8 & 2 & 2 & 6 \end{bmatrix}$$

Do not ignore the factor of $1/4$ used to define Q (for instance, the $(4, 2)$ entry of Q is $-3/4$)!

(4 pts) (a) $\text{rank}(A) = \underline{\hspace{2cm}}$ and $\det(Q^T Q) = \underline{\hspace{2cm}}$

(4 pts) (b) Suppose that \mathbf{b} is any vector in the *column space* of A . It is guaranteed that \mathbf{b} satisfies exactly one of the following equations. Select this equation.

☐ $A\mathbf{b} = \mathbf{b}$ ☐ $QQ^T\mathbf{b} = \mathbf{b}$ ☐ $R\mathbf{b} = A\mathbf{b}$ ☐ $Q^T\mathbf{b} = R\mathbf{b}$ ☐ $Q^T\mathbf{b} = \mathbf{0}$

(4 pts) (c) Suppose $\hat{\mathbf{x}}$ is any solution to the least squares problem associated to $A\mathbf{x} = \mathbf{b}$ where $\mathbf{b} \in \mathbb{R}^5$ is any vector. It is guaranteed that $\hat{\mathbf{x}}$ solves *all but one* of the following equations. Select the equation that $\hat{\mathbf{x}}$ is **not** guaranteed to solve.

☐ $A\hat{\mathbf{x}} = QQ^T\mathbf{b}$ ☐ $A^T A\hat{\mathbf{x}} = A^T\mathbf{b}$ ☐ $R\hat{\mathbf{x}} = Q^T\mathbf{b}$ ☐ $R^T R\hat{\mathbf{x}} = A^T\mathbf{b}$ ☐ $R^T R\hat{\mathbf{x}} = R^T\mathbf{b}$

(6 pts) (d) The coefficient of t^4 in $\chi_A(t)$ is $\underline{\hspace{2cm}}$ and the constant coefficient in $\chi_A(t)$ is $\underline{\hspace{2cm}}$.

(10 pts) (e) It is known that $U = I_5 - c \cdot QQ^T$ is real-symmetric for any real number c . However, the matrix U has orthonormal columns for only one *nonzero* real number of c . Find this value of c . Clearly explain your reasoning to receive credit. Fill in the blank below for clarity.

$c = \underline{\hspace{2cm}}$

Problem 2. The equation below depicts a diagonalization $A = XDX^{-1}$ of a 4×4 complex matrix A .

$$\begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \end{bmatrix} A = \begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \end{bmatrix}^X \begin{bmatrix} 1 & -1 & -1 & 1 \\ -1 & 1 & 0 & -2 \\ 0 & 1 & -2 & -1 \\ 0 & 1 & 2i+1 & 2i+3 \end{bmatrix} \begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \end{bmatrix}^D \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & i+2 & 0 \\ 0 & 0 & 0 & -2i+2 \end{bmatrix} \begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \end{bmatrix}^{X^{-1}} \begin{bmatrix} -6i-11 & -6i-12 & 4 & -3 \\ -2i-5 & -2i-5 & 2 & -1 \\ -2i-4 & -2i-4 & 1 & -1 \\ 2i+3 & 2i+3 & -1 & 1 \end{bmatrix}$$

Throughout this problem, let $\mathbf{v}_3 = [-1 \ 0 \ -2 \ 2i+1]^\top$ (the third column of X) and let $\mathbf{v}_4 = [1 \ -2 \ -1 \ 2i+3]^\top$ (the fourth column of X).

(6 pts) (a) $\|\mathbf{v}_3\| = \underline{\hspace{2cm}}$ and the coefficient of t^3 in $\chi_A(t)$ is $\underline{\hspace{2cm}}$

(9 pts) (b) Some, but not necessarily all, of the following descriptors accurately describe A . Select these descriptors (1.5pts each).

☐ diagonalizable ☐ real-symmetric ☐ Hermitian ☐ nonsingular

☐ indefinite ☐ has at least one nonreal entry

(8 pts) (c) Calculate $\langle \mathbf{v}_3, \mathbf{v}_4 \rangle$. Clearly explain your reasoning to receive credit. Record your value of $\langle \mathbf{v}_3, \mathbf{v}_4 \rangle$ in the blank below for clarity.

$\langle \mathbf{v}_3, \mathbf{v}_4 \rangle = \underline{\hspace{2cm}}$

(10 pts) (d) We can infer from the given diagonalization that $\lambda = 1$ is an eigenvalue of A . Find an *orthonormal basis* of $\mathcal{E}_A(1)$. Clearly explain your reasoning to receive credit. List your basis vectors in the box at the bottom of this page for clarity.

Orthonormal Basis of $\mathcal{E}_A(1)$

(18 pts) **Problem 3.** Consider the matrix A and the vector \mathbf{b} given by

$$A = \begin{bmatrix} -5 & 9 \\ 1 & -5 \end{bmatrix} \qquad \mathbf{b} = \begin{bmatrix} 3e^8 + 9e^2 \\ -e^8 + 3e^2 \end{bmatrix}$$

Calculate the matrix-vector product $\exp(A)\mathbf{b}$. Clearly explain your reasoning to receive credit. Your answer should simplify to a vector of integers. Record your answer in the blank at the bottom of this page for clarity.

$$\exp(A)\mathbf{b} = \begin{bmatrix} \\ \end{bmatrix}$$

Problem 4. The data below depicts a matrix A (whose three columns are labeled as \mathbf{a}_1 , \mathbf{a}_2 , and \mathbf{a}_3) along with the quadratic form $q(\mathbf{x}) = \langle \mathbf{x}, S\mathbf{x} \rangle$ where $S = A^\top A$ (which recall means that the (i, j) entry of S is $\langle \mathbf{a}_i, \mathbf{a}_j \rangle$) and $\mathbf{x} = [x_1 \ x_2 \ x_3]^\top$.

$$A = \begin{bmatrix} | & | & | \\ \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 \\ | & | & | \end{bmatrix} \qquad q(\mathbf{x}) = 3x_1^2 - 2x_1x_2 + 5x_2^2 + 2x_1x_3 - 2x_2x_3 + 3x_3^2$$

It is known that the technique of “completing the square” allows one to rewrite this quadratic form as

$$q(\mathbf{x}) = \lambda_1 \cdot \left(\frac{x_1 - 2x_2 + x_3}{\sqrt{6}} \right)^2 + 3 \cdot \left(\frac{x_1 + x_2 + x_3}{\sqrt{3}} \right)^2 + 2 \cdot y_3^2$$

Note that the symbols λ_1 and y_3 in this presentation of $q(\mathbf{x})$ are currently unknown.

(6 pts) (a) $\|\mathbf{a}_1\|^2 = \|\mathbf{a}_3\|^2 = \underline{\hspace{2cm}}$ and $\langle \mathbf{a}_1, \mathbf{a}_2 \rangle = \underline{\hspace{2cm}}$

(9 pts) (b) Find the value of λ_1 . Clearly explain your reasoning to receive credit. Fill your answer in the blank below for clarity.

$\lambda_1 = \underline{\hspace{2cm}}$

(6 pts) (c) There are only two valid formulas for y_3 in terms of x_1 , x_2 , and x_3 . Find one of these formulas. Clearly explain your reasoning to receive credit. Fill in the blank below for clarity.

$y_3 = \underline{\hspace{4cm}}$